

Claims: Population 3 and 3a

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Data Elements and Values for Reporting and Validating Claims

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- Data Element: [Date Claim Filed/IB-4 Sent](#)
- Data Element: [Type of UI Program](#)
- Record Layout: [Type of Claim](#)
- Data Element: [Program Type](#)
 - Value: UI Claim
 - Value: UCFE
 - Value: UCX Claim
- Data Element: [Intrastate/Interstate](#)
 - Value: CWC Intrastate
 - Value: CWC Interstate
- Data Element: [Date of Original Monetary](#)
- Data Element: [Sufficient/Insufficient/Wages Combined](#)
- Data Element: [Original Weekly Benefit Amount \(WBA\) \(on initial monetary\)](#)
- Data Element: [Original Maximum Benefit Amount \(MBA\)](#)
- Data Element: [Potential Weeks of Duration](#)
- Data Element: [Maximum Potential Weeks of Duration](#)

Claims

Definition: Claims

Claims: Claims involve a request, in person, by mail, internet or telephone, for a determination of entitlement to and eligibility for compensation resulting in an agency-generated document of an appealable monetary determination provided to the potential claimant.

Module 3

Step 1. Match

Sub Step B. Claims

Rules for this step/substep apply to Population 3 and 3a

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR NEW CLAIMS:

Rule 1: This rule ensures that the validator has correctly identified the new claim or b3enefit year established to be validated on the supporting documentation by matching SSN

New Claims

Definition: Type of Claim – New Claim

New Claim: The first claim filed in person, by mail, or telephone to request a determination of entitlement to and eligibility for compensation which results in an agency-generated document of an appealable monetary determination provided to the potential claimant excluding transitional claims. (One of three types of initial claims.) (ETA 401 (5159), Sec I, Ch 6)

Module 3

Step 3. Type of Claim

Sub Step A. New Claim

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the date that the new claim was filed matches the date on the worksheet and is during the period being validated by checking the claim filed date.

Rule 2: This rule validates that the claim is a new claim by checking the claim type indicator.

Rule 3: This rule validates that the claim is not a transitional claim by checking an indicator. This is necessary to meet the definition of a new claim.

Rule 4: This rule validates that the claim is not a transitional claim by checking the last claimed week of the prior claim (if applicable) and the BYB of the new claim to ensure they are more than seven days apart.

ETA Handbook 361 Populations 3.1 – 3.18

Table A.3.1
Population 3 Subpopulations
Claims – Claimant Eligibility
Claim Filed Date or Original Monetary Determination Date
For Claim Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A (Rule 1)) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
NEW UI CLAIMS (3.1 through 3.14) 1) Random sample: 60 or 200; 2) Supplemental sample--missing strata													
3.1	5159A-101-2 218A-100-2	Required	Within Quarter	Regular UI	New	UI	Intrastate	Within Quarter	Insufficient	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
3.2	5159A-101-2 218A-100 (3-6) 218B-103 (14, 19)	Required	Within Quarter	Regular UI	New	UI	Intrastate	Within Quarter	Sufficient New BY	Maximum	> 0	> 0	Y
3.3	5159A-101-2 218A-100 (3-5) 218B-101 (8-13)	Required	Within Quarter	Regular UI	New	UI	Intrastate	Within Quarter	Sufficient New BY	Maximum	> 0	> 0	N
3.4	5159A-101-2 218A-100 (3-4) 218B-103 (14, 19)	Required	Within Quarter	Regular UI	New	UI	Intrastate	Within Quarter	Sufficient New BY	Less than Maximum	> 0	> 0	Y

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A) (Rule 1)) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
3.5	5159A-101-2 218A-100 (3-4) 218B-101 (8-13)	Required	Within Quarter	Regular UI	New	UI	Intrastate	Within Quarter	Sufficient New BY	Less than Maximum	> 0	> 0	N
3.6	5159A-101-2 218A-100-3	Required	Within Quarter	Regular UI	New	UI	Intrastate	Within Quarter	Sufficient No BY ^c	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
3.7	5159A-101-2	Required	Within Quarter	Regular UI	New	UI	Intrastate	Must be blank	Must be blank	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
3.8	5159A-101-7 218A-100-2	Required	Within Quarter	Regular UI	New	UI	Interstate Received as Liable State*	Within Quarter	Insufficient	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
3.9	5159A-101-7 218A-100 (3-6) 218B-103 (14, 19)	Required	Within Quarter	Regular UI	New	UI	Interstate Received as Liable State*	Within Quarter	Sufficient New BY	Maximum	> 0	> 0	Y
3.10	5159A-101-7 218A-100 (3-5) 218B-101 (8-13)	Required	Within Quarter	Regular UI	New	UI	Interstate Received as Liable State*	Within Quarter	Sufficient New BY	Maximum	> 0	> 0	N

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A) (Rule 1)) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
3.11	5159A-101-7 218A-100 (3-4) 218B-103 (14, 19)	Required	Within Quarter	Regular UI	New	UI	Interstate Received as Liable State*	Within Quarter	Sufficient New BY	Less than Maximum	> 0	> 0	Y
3.12	5159A-101-7 218A-100 (3-4) 218B-101 (8-13)	Required	Within Quarter	Regular UI	New	UI	Interstate Received as Liable State*	Within Quarter	Sufficient New BY	Less than Maximum	> 0	> 0	N
3.13	5159A-101-7 218A-100-3	Required	Within Quarter	Regular UI	New	UI	Interstate Received as Liable State*	Within Quarter	Sufficient No BY ^c	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
3.14	5159A-101-7	Required	Within Quarter	Regular UI	New	UI	Interstate Received as Liable State*	Must be blank	Must be blank	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
NEW UCFE/UCX CLAIMS (3.15 through 3.18) 1) Minimum sample: First two cases from each subpopulation													
3.15	5159A-102-2	Required	Within Quarter	Regular UI	New	UCFE	Intrastate						
3.16	5159A-103-2	Required	Within Quarter	Regular UI	New	UCX	Intrastate						
3.17	5159A-102-7	Required	Within Quarter	Regular UI	New	UCFE	Interstate Received as Liable State*						

		2 (Step 1B)	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1)	4 (Step 2A (Rule 1))	5 (Step 3)	6 (Step 4)	7 (Step 5)	8 (Step 6A) (Step 6B)	9 (Step 6C) (Step 6D)	10 (Step 7)	11 (Step 8A) (Step 8B)	12 (Step 8A)	13 (Step 8B)
Sub pop #	Report, Line, and Column	SSN	Date Claim Filed/IB-4 Sent	Type of UI Program	Type of Claim	Program Type	Intrastate/ Interstate	Date of Original Monetary ^a	Sufficient/ Insufficient/ Combined Wages	WBA	MBA	Potential Weeks of Duration ^b	Potential Weeks Maximum Duration
3.18	5159A-103-7	Required	Within Quarter	Regular UI	New	UCX	Interstate Received as Liable State*						

*These values are abbreviated in the record layout data format specifications (see Appendix A of ETA Operations Guide 411) but are shown here in their entirety for informational purposes.

^aFor subpopulations 3.34 through 3.39, “original monetary” refers to the first monetary determination/redetermination using wages from more than one state.

^bObservations reported in all populations which require the number of weeks of potential duration will be sorted by the number of weeks and subtotaled by the range of weeks.

^cThis situation will only occur when the State does not automatically establish a new benefit year for claimants who are monetarily eligible for benefits.

Data Elements and Values for Reporting and Validating New Claims

- Data Element: [SSN](#)
- Data Element: [Date Claim Filed/IB-4 Sent](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI
- Record Layout: [Type of Claim](#)
- Data Element: [Program Type](#)
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX claim
 - Value: UCX Claim
- Data Element: [Intrastate/Interstate](#)
 - Value: CWC Intrastate
 - Value: CWC Interstate
- Data Element: [Date of Original Monetary](#)
- Data Element: [Sufficient/Insufficient/Wages Combined](#)
- Data Element: [WBA](#)
- Data Element: [MBA](#)
- Data Element: [Potential Weeks of Duration](#)
- Data Element: [Potential Weeks Maximum Duration](#)

Interstate Filed from Agent State Claims

Definition: Intrastate or Interstate Claim – Interstate Filed from Agent State

Interstate Taken as Agent State: Initial claims which represent initial interstate claims including new, additional, and reopened claims taken by the State agency acting as the agent State. (ETA 401 (5159), Sec I, Ch 6)

Module 3

Step 5. Intrastate or Interstate Claim

Sub Step D. Interstate Filed from Agent State

Rules for this step/substep apply to Populations 1 and 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the claim is for an interstate claim filed from the agent State by checking an indicator.

Rule 2: This rule validates that the claim or week claimed is for an interstate claim filed from the agent State by checking a second indicator.

ETA Handbook 361 Populations 3.19 – 3.21

Table A.3.1
Population 3 Subpopulations
Claims – Claimant Eligibility
Claim Filed Date or Original Monetary Determination Date
For Claim Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A (Rule 1)) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
INTERSTATE FILED FROM AGENT STATE CLAIMS (3.19 through 3.21) 1) Minimum sample: First two cases from each subpopulation													
3.19	5159A-101-4	Required	Within Quarter	Regular UI	New Transitional Additional Reopened	UI	Interstate Filed from Agent State*						
3.20	5159A-102-4	Required	Within Quarter	Regular UI	New Transitional Additional Reopened	UCFE	Interstate Filed from Agent State*						
3.21	5159A-103-4	Required	Within Quarter	Regular UI	New Transitional Additional Reopened	UCX	Interstate Filed from Agent State*						

*These values are abbreviated in the record layout data format specifications (see Appendix A of ETA Operations Guide 411) but are shown here in their entirety for informational purposes.

^aFor subpopulations 3.34 through 3.39, “original monetary” refers to the first monetary determination/redetermination using wages from more than one state.

^bObservations reported in all populations which require the number of weeks of potential duration will be sorted by the number of weeks and subtotaled by the range of weeks.

Data Elements and Values for Reporting and Validating Interstate Filed from Agent Claims

- Data Element: [SSN](#)
- Data Element: [Date Claim Filed/IB-4 Sent](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI
- Record Layout: [Type of Claim](#)
 - Value: [New Claim \(Refer to New Claim Definition\)](#)
 - Value: [Transitional Claim \(Refer to Transitional Claim\)](#)
 - Value: [Additional Claim \(Refer to Additional Claim\)](#)
 - Value: [Reopened Claim \(Refer to Additional/Reopened Claim\)](#)
- Data Element: [Program Type](#)
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX claim
 - Value: UCX Claim
- Data Element: [Intrastate/Interstate Claim](#)
- Data Element: [Date of Original Monetary](#)

Interstate Taken as Agent State Claims

Definition: Intrastate or Interstate Claim – Interstate Taken as Agent State

Interstate Taken as Agent State: Initial claims which represent initial interstate claims including new, additional, and reopened claims taken by the State agency acting as the agent State. (ETA 401 (5159), Sec I, Ch 6)

Module 3

Step 5. Intrastate or Interstate Claim

Sub Step C. Interstate Taken as Agent State

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the claim is for an interstate claim taken as the agent State by checking an indicator.

Rule 2: This rule validates that the claim or week claimed is for an interstate claim taken as the agent State by checking a second indicator.

ETA Handbook 361 Populations 3.22 – 3.24

Table A.3.1
Population 3 Subpopulations
Claims – Claimant Eligibility
Claim Filed Date or Original Monetary Determination Date
For Claim Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A) (Rule 1)) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
INTERSTATE FILED FROM AGENT STATE CLAIMS (3.19 through 3.21) 1) Minimum sample: First two cases from each subpopulation													
3.19	5159A-101-4	Required	Within Quarter	Regular UI	New Transitional Additional Reopened	UI	Interstate Filed from Agent State*						
3.20	5159A-102-4	Required	Within Quarter	Regular UI	New Transitional Additional Reopened	UCFE	Interstate Filed from Agent State*						
3.21	5159A-103-4	Required	Within Quarter	Regular UI	New Transitional Additional Reopened	UCX	Interstate Filed from Agent State*						

*These values are abbreviated in the record layout data format specifications (see Appendix A of ETA Operations Guide 411) but are shown here in their entirety for informational purposes.

^aFor subpopulations 3.34 through 3.39, “original monetary” refers to the first monetary determination/redetermination using wages from more than one state.

^bObservations reported in all populations which require the number of weeks of potential duration will be sorted by the number of weeks and subtotaled by the range of weeks.

Data Elements and Values for Reporting and Validating Interstate Taken as Agent State Claims

- Data Element: [SSN](#)
- Data Element: [Date Claim Filed/IB-4 Sent](#)
- Data Element: [Type of UI Program](#)
 - Value: **Regular UI**
- Data Element: [Type of Claim](#)
 - Value: [New Claim \(Refer to New Claim\)](#)
 - Value: [Transitional Claim \(Refer to Transitional Claim\)](#)
 - Value: [Additional Claim \(Refer to Additional Claim\)](#)
 - Value: [Reopened Claim \(Refer to Additional/Reopened Claim\)](#)
- Data Element: [Program Type](#)
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX claim
 - Value: UCX Claim
- Record Layout: [Intrastate/Interstate](#)

Transitional Claims

Definition: Type of Claim – Transitional Claims

Transitional Claim: A claim filed to request a determination of eligibility and establishment of a new benefit year having an effective date within the seven-day period immediately following the benefit year ending date and a week for which compensation or waiting period credit was claimed. (One of three types of initial claims.)

A first claim for extended benefits under the EB or other extended benefit program should not be counted as a transitional claim; it will be counted as a new claim on the separate ETA 5159 EB report or appropriate form. However, when a claimant filed for extended benefits in the last week of his/her benefit year and a determination must be made on whether he/she can establish a new benefit year, this request and any subsequent request in a continuous extended benefit claims series should be counted as a transitional claim on the regular form and not on the EB or other extended program form. (ETA 401, Sec I, Ch 6)

Module 3

Step 3. Type of Claim

Sub Step C. Transitional Claims

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the date that the transitional claim was filed matches the date on the worksheet and is during the period being validated by checking the claim filed date.

Rule 2: This rule validates that the claim is a transitional claim based on an indicator.

Rule 3: This rule validates that the claim meets the criteria for a transitional claim by ensuring that the last claimed week of the prior benefits year and the BYB of the new benefit year (associated with the transitional claim being validated) are within seven days and the claim is in active status (claimed a week of benefits or waiting week credit during the last week of the benefit year).

ETA Handbook 361 Populations 3.25 – 3.33

Table A.3.1
Population 3 Subpopulations
Claims – Claimant Eligibility
Claim Filed Date or Original Monetary Determination Date
For Claim Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A (Rule 1)) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
INTRASTATE AND INTERSTATE TRANSITIONAL CLAIMS (3.25 through 3.33) 1) Random sample: 30 or 100													
3.25	5159A-101-6 218A-100-2	Required	Within Quarter	Regular UI	Transitional	UI		Within Quarter	Insufficient	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
3.26	5159A-101-6 218A-100 (3-6) 218B-103 (14, 19)	Required	Within Quarter	Regular UI	Transitional	UI		Within Quarter	Sufficient New BY	Maximum	> 0	> 0	Y
3.27	5159A-101-6 218A-100 (3-5) 218B-101 (8-13)	Required	Within Quarter	Regular UI	Transitional	UI		Within Quarter	Sufficient New BY	Maximum	> 0	> 0	N

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A) (Rule 1)) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
3.28	5159A-101-6 218A-100 (3-4) 218B-103 (14, 19)	Required	Within Quarter	Regular UI	Transitional	UI		Within Quarter	Sufficient New BY	Less than Maximum	> 0	> 0	Y
3.29	5159A-101-6 218A-100 (3-4) 218B-101 (8-13)	Required	Within Quarter	Regular UI	Transitional	UI		Within Quarter	Sufficient New BY	Less than Maximum	> 0	> 0	N
3.30	5159A-101-6 218A-100-3	Required	Within Quarter	Regular UI	Transitional	UI		Within Quarter	Sufficient No BY ^c	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
3.31	5159A-101-6	Required	Within Quarter	Regular UI	Transitional	UI		Must be blank	Must be blank	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
3.32	5159A-102-6	Required	Within Quarter	Regular UI	Transitional	UCFE							
3.33	5159A-103-6	Required	Within Quarter	Regular UI	Transitional	UCX							

^aFor subpopulations 3.34 through 3.39, “original monetary” refers to the first monetary determination/redetermination using wages from more than one state.

^bObservations reported in all populations which require the number of weeks of potential duration will be sorted by the number of weeks and subtotaled by the range of weeks.

^cThis situation will only occur when the State does not automatically establish a new benefit year for claimants who are monetarily eligible for benefits.

Data Elements and Values for Reporting and Validating Transitional Claims

- Data Element: [SSN](#)
- Data Element: [Date Claim Filed/IB-4 Sent](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI
- Data Element: [Type of Claim](#)
- Data Element: [Program Type](#)
 - Value: UI Claim
 - Value: UCFE or UCFE/UCX claim
 - Value: UCX Claim
- Data Element: [Intrastate/Interstate Claim](#)
 - Value: Intrastate Received as Liable State Claim
- Data Element: [Date of Original Monetary](#)
- Data Element: [Sufficient/Insufficient/Wages Combined](#)
- Data Element: [WBA](#)
- Data Element: [MBA](#)
- Data Element: [Potential Weeks of Duration](#)
- Data Element: [Maximum Potential Weeks of Duration](#)

New Combined Wage Claims (CWC)

Definition: Type of Claim – New Combined Wage Claim (CWC)

New Combined Wage Claim: A new combined wage claim is any new claim filed under the Interstate Arrangement for Combining Employment and Wages. In many cases, a claimant may request to file a combined wage claim only after being issued an ineligible monetary determination or one indicating entitlement to less than the maximum benefits on a regular claim. For purposes of this report, the claim is reportable as a new combined wage claim at the time the claimant requests a determination under the combined wage arrangement. A new combined wage claim reported as a result of a redetermination of a regular claim that was previously reported as an initial claim on the ETA 5159 should not again be reported as an initial claim on the ETA 5159. (ETA 401, Sec IV, Ch 3)

Note: The filing of new claims under the combined wage arrangement may occur at the point of the initial claim or later in the claim series. This number should be the same as the total number of claimants for which an initial Request for Transfer of Wages was sent during the quarter. (ETA 401, Sec IV, Ch 3)

Module 3

Step 3. Type of Claim

Sub Step A. New Claim

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR CWC NEW CLAIMS ONLY:

Rule 6: This rule validates that a new CWC claim was filed by checking that the original request was sent during the quarter being validated.

NEW CWC CLAIMS FILED IN THE PRIOR QUARTER:

Rule 7: This rule validates that a new CWC claim was filed by checking that the original request was sent prior to the quarter being validated.

Table A.3.1
Population 3 Subpopulations
Claims – Claimant Eligibility
Claim Filed Date or Original Monetary Determination Date
For Claim Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A) (Rule 1) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
CWC CLAIMS (3.34 through 3.39) 1) Random sample: 30 or 100; 2) Supplemental sample--missing strata													
3.34	586A-101-1	Required	Within Quarter	Regular UI	CWC New		CWC Intrastate	Must be blank	Insufficient (Wages Not Combined)*	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
3.35	586A-101 (1-2)	Required	Within Quarter	Regular UI	CWC New		CWC Intrastate	Within Quarter	Sufficient New CWC BY				
3.36	586A-102-1	Required	Within Quarter	Regular UI	CWC New		CWC Interstate	Must be blank	Insufficient (Wages Not Combined)*	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
3.37	586A-102 (1-2)	Required	Within Quarter	Regular UI	CWC New		CWC Interstate	Within Quarter	Sufficient New CWC BY				
3.38	586A-101-2	Required	A Prior Quarter	Regular UI	New CWC BY for CWC Claim Filed in Prior Quarter*		CWC Intrastate	Within Quarter	Sufficient New CWC BY				

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A (Rule 1)) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
3.39	586A-102-2	Required	A Prior Quarter	Regular UI	New CWC BY for CWC Claim Filed in Prior Quarter*		CWC Interstate	Within Quarter	Sufficient New CWC BY				

*These values are abbreviated in the record layout data format specifications (see Appendix A of ETA Operations Guide 411) but are shown here in their entirety for informational purposes.

^aFor subpopulations 3.34 through 3.39, “original monetary” refers to the first monetary determination/redetermination using wages from more than one state.

^bObservations reported in all populations which require the number of weeks of potential duration will be sorted by the number of weeks and subtotaled by the range of weeks.

Data Elements and Values for Reporting and Validating New Combined Wage Claims

- Data Element: [SSN](#)
- Data Element: [Date Claim Filed/IB-4 Sent](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI
- Data Element: [Type of Claim](#)
- Data Element: [Intrastate/Interstate](#)
 - Value: CWC Intrastate
 - Value: CWC Interstate
- Data Element: [Sufficient/Insufficient/Wages Combined](#)
- Data Element: [WBA](#)
- Data Element: [MBA](#)
- Data Element: [Potential Weeks of Duration](#)
- Data Element: [Potential Weeks of Maximum Duration](#)

New Claims Filed in Prior Quarter

Definition: Type of Claim – New Claim

New Claim: The first claim filed in person, by mail, or telephone to request a determination of entitlement to and eligibility for compensation which results in an agency-generated document of an appealable monetary determination provided to the potential claimant excluding transitional claims. (One of three types of initial claims.) (ETA 401 (5159), Sec I, Ch 6)

Module 3

Step 3. Type of Claim

Sub Step A, Rule 5. New Claim Filed in Prior Quarter

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 5: This rule validates that the new claim was filed during the previous quarter.

ETA Handbook 361 Populations 3.40 – 3.45

Table A.3.1
Population 3 Subpopulations
Claims – Claimant Eligibility
Claim Filed Date or Original Monetary Determination Date
For Claim Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A) (Rule 1) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
NEW CLAIMS FILED IN THE PRIOR QUARTER (3.40 through 3.45) ^d 1) Random sample: 30 or 100; 2) Supplemental sample--missing strata													
3.40	218A-100-2	Required	A Prior Quarter	Regular UI	New Claim Filed in Prior Quarter*	UI		Within Quarter	Insufficient	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank
3.41	218A-100 (3-6) 218B-103 (14, 19)	Required	A Prior Quarter	Regular UI	New Claim Filed in Prior Quarter*	UI		Within Quarter	Sufficient New BY	Maximum	> 0	> 0	Y
3.42	218A-100 (3-5) 218B-101 (8-13)	Required	A Prior Quarter	Regular UI	New Claim Filed in Prior Quarter*	UI		Within Quarter	Sufficient New BY	Maximum	> 0	> 0	N

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A (Rule 1)) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
3.43	218A-100 (3-4) 218B-103 (14, 19)	Required	A Prior Quarter	Regular UI	New Claim Filed in Prior Quarter*	UI		Within Quarter	Sufficient New BY	Less than Maximum	> 0	> 0	Y
3.44	218A-100 (3-4) 218B-101 (8-13)	Required	A Prior Quarter	Regular UI	New Claim Filed in Prior Quarter*	UI		Within Quarter	Sufficient New BY	Less than Maximum	> 0	> 0	N
3.45	218A-100-3	Required	A Prior Quarter	Regular UI	New Claim Filed in Prior Quarter*	UI		Within Quarter	Sufficient No BY ^c	Must be blank	Must be blank or 0	Must be blank or 0	Must be blank

*These values are abbreviated in the record layout data format specifications (see Appendix A of ETA Operations Guide 411) but are shown here in their entirety for informational purposes.

^aFor subpopulations 3.34 through 3.39, “original monetary” refers to the first monetary determination/redetermination using wages from more than one state.

^bObservations reported in all populations which require the number of weeks of potential duration will be sorted by the number of weeks and subtotaled by the range of weeks.

^cThis situation will only occur when the State does not automatically establish a new benefit year for claimants who are monetarily eligible for benefits.

^dThese are new or transitional claims filed in a prior quarter. States should use the same Federal generic value of “Prior Qtr New Claim” for either type in the Population 3 extract file.

Data Elements and Values for Reporting and Validating New Claims Filed in the Prior Quarter

- Data Element: [SSN](#)
- Data Element: [Date Claim Filed/IB-4 Sent](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI
- Data Element: [Type of Claim](#)
- Data Element: [Program Type](#)
 - Value: UI Claim
- Data Element: [Date of Original Monetary](#)
- Data Element: [Sufficient/Insufficient/Wages Combined](#)
- Data Element: [WBA](#)
- Data Element: [MBA](#)
- Data Element: [Potential Weeks of Duration](#)
- Data Element: [Potential Weeks of Maximum Duration](#)

Entering Self-Employment

Definition: Type of Claim –Self-Employment Assistance Program

Self-Employment Assistance Program: Count claimants who have been accepted into a self-employment program as defined under P.L. 103-182, North American Free Trade Agreement Implementation Act. Claimant activity will be counted as part of the regular program. Counts of those individuals entering the Self-Employment Assistance Program, the number of weeks compensated while in that program, and the benefits paid for those weeks will also be shown separately. (ETA 401, Sec I, Ch 6)

Module 3

Step 3. Type of Claim

Sub Step D. Entering Self- Employment Program

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the date the claimant entered the self-employment program fell within the reporting period.

Rule 2: This rule validates that the claimant entered the self-employment program by checking an indicator.

Table A.3.1
Population 3 Subpopulations
Claims – Claimant Eligibility
Claim Filed Date or Original Monetary Determination Date
For Claim Falls within Reporting/Validation Period

Sub pop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3A) (Rules 1 & 6) (Step 3C) (Rule 1) Date Claim Filed/IB-4 Sent	4 (Step 2A) (Rule 1)) Type of UI Program	5 (Step 3) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 6A) (Step 6B) Date of Original Monetary ^a	9 (Step 6C) (Step 6D) Sufficient/ Insufficient/ Combined Wages	10 (Step 7) WBA	11 (Step 8A) (Step 8B) MBA	12 (Step 8A) Potential Weeks of Duration ^b	13 (Step 8B) Potential Weeks Maximum Duration
ENTERING SELF-EMPLOYMENT PROGRAM (3.46) 1) Minimum sample: First two cases													
3.46	5159A-201-13	Required	Within Quarter	Regular UI	Entering Self-Employment								

^aFor subpopulations 3.34 through 3.39, “original monetary” refers to the first monetary determination/redetermination using wages from more than one state.

^bObservations reported in all populations which require the number of weeks of potential duration will be sorted by the number of weeks and subtotaled by the range of weeks.

Data Elements and Values for Reporting and Validating Self-Employment Claims

- Data Element: [SSN](#)
- Data Element: [Date Claim Filed/IB-4 Sent](#)
- Data Element: [Type of UI Program](#)
 - Value: Regular UI

Additional/Reopened Claims

Data Element: Type of Claim

Value: Additional Claims

Definition: Type of Claim – Additional Claims

Additional Claim: A notice of new unemployment filed at the beginning of a second or subsequent period of eligibility when a break of one week or more has occurred in the claim series with intervening employment. Report these claims only when there has been intervening employment since the last claim was filed. Do not report as additional claims following breaks in series due to illness, disqualification, unavailability, or failure to report for any reason other than job attachment. For each reported additional claim, a record must be maintained of the separating employer, the last day worked, and the reason for separation or unemployment. This record must be maintained in either a manual or computer file and be accessible for validation. An additional claim is not reportable for the same separation as the previously taken initial claim. (One of three types of initial claims.) (ETA 401, Sec I, Ch 6)

Module 3

Step 3. Type of Claim

Sub Step B. Additional/Reopened Claims

Rules for this step/substep apply to Population 3 and 3a

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the date that the additional claim was filed matches the date on the worksheet and is during the period being validated by checking the claim filed date.

Rule 2: This rule validates that the claim is an additional claim by checking the claim type indicator.

Rule 3: This rule validates that the claim was a break in the claim series.

Rule 4: This rule validates that the claim is a new separation (not a separation associated with the prior new claim or prior additional claims) occurred. Used to validate additional claims.

Rule 5: This rule validated than an employer ID number or employer name is associated with the separation date to ensure that the criteria for an additional claim are met.

Rule 6: This rule validates that there is a documented reason for the new separation.

ETA Handbook 361 Populations 3a.1 – 3a.6

Table A.3.2
Population 3A Subpopulations
Claims – Claimant Eligibility
Claim Filed Date or Original Monetary Determination Date
For Claim Falls within Reporting/Validation Period

Subpop #	Report, Line, and Column	2 (Step 1B) SSN	3 (Step 3B) (Rule 1) Date Claim Filed	4 (Step 2A) Type of UI Program	5 (Step 3B) (Rule 2) Type of Claim	6 (Step 4) Program Type	7 (Step 5) Intrastate/ Interstate	8 (Step 3B) (Rule 3) Unclaimed Week	9 (Step 3B) (Rule 4) Separation Date	10 (Step 3B) (Rule 5) Last Employer	11 (Step 3B) (Rule 6) Separation Reason
ADDITIONAL CLAIMS (3a.1 through 3a.6) 1) Random sample: 60 or 200											
3a.1	5159A-101-3	Required	Required	Regular UI	Additional	UI	Intrastate		Required	Required	Required
3a.2	5159A-102-3	Required	Required	Regular UI	Additional	UCFE	Intrastate		Required	Required	Required
3a.3	5159A-103-3	Required	Required	Regular UI	Additional	UCX	Intrastate		Required	Required	Required
3a.4	5159A-101-7	Required	Required	Regular UI	Additional	UI	Interstate Received as Liable State*		Required	Required	Required
3a.5	5159A-102-7	Required	Required	Regular UI	Additional	UCFE	Interstate Received as Liable State*		Required	Required	Required
3a.6	5159A-103-7	Required	Required	Regular UI	Additional	UCX	Interstate Received as Liable State*		Required	Required	Required

*These values are abbreviated in the record layout data format specifications (see Appendix A of ETA Operations Guide 411) but are shown here in their entirety for informational purposes.

Data Element: Type of Claim

Value: Type of Claim: Reopened Claims

Definition: Type of Claim – Reopened Claims

Reopened Claim: The first claim filed after a break in a claim series during a benefit year caused by other than intervening employment (e.g., illness, disqualification, unavailability, or failure to report for any reason other than job attachment).

Module 3

Step 3. Type of Claim

Sub Step B, Rule 7 and 8. Additional/Reopened Claims

Rules for this step/substep apply to Population 3 and 3a

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 7: This rule validates that the claim was a reopened claim by checking an indicator.

Rule 8: This rule validates that there was a break in the claim series.

Data Element: Unclaimed Week

Definition: Unclaimed Week

Unclaimed Week: The week-ending date of the unclaimed week prior to the additional claim.

Module 3

Step 3. Type of Claim

Sub Step B. Additional Claims/Reopened Claims

Rules for this step/substep apply to Populations 3 and 3a

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR ADDITIONAL CLAIMS ONLY:

Rule 3: This rule validates that there was a break in the claim series.

Record Layout: Population 3a – Unclaimed Weeks

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
8	Unclaimed Week	Step 3B - Rule 3	The week-ending date of the unclaimed week prior to the additional claim.	Date - MM/DD/YYYY (Optional)	DATE	

Data Element: Separation Date

Definition: Separation Date

Separation Date: The date of separation from an employer since the last claim was filed.

Module 3

Step 3. Type of Claim

Sub Step B. Additional Claims/Reopened Claims

Rules for this step/substep apply to Populations 3 and 3a

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR ADDITIONAL CLAIMS ONLY:

Rule 4: This rule validates that a new separation (not a separation associated with the new claim or prior additional claims) occurred. Used to validate additional claims.

Record Layout: Separation Date

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

No.	Field Name	Module 3 Reference	Field Description	Data Format	Data Type	Constraint
9	Separation Date	Step 3B - Rule 4	The date of separation from an employer since the last claim was filed.	Date - MM/DD/YYYY(Required)	DATE	NOT NULL

Data Element: Last Employer

Definition: Last Employer

Last Employer: The name of the separating employer..

Module 3

Step 3. Type of Claim

Sub Step B. Additional Claims/Reopened Claims

Rules for this step/substep apply to Populations 3 and 3a

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR ADDITIONAL CLAIMS ONLY:

Rule 5: This rule validates that an employer ID number or employer name is associated with the separation date to ensure that the criteria for an additional claim were met.

Record Layout: Last Employer

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
10	Last Employer	Step 3B - Rule 5	The name of the separating employer.	Text (Required)	CHAR (50)	NOT NULL

Data Element: Separation Reason

Definition: Separation Reason

Separation Reason: The reason for separation.

Module 3

Step 3. Type of Claim

Sub Step B. Additional Claims/Reopened Claims

Rules for this step/substep apply to Populations 3 and 3a

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR ADDITIONAL CLAIMS ONLY:

Rule 6: This rule validates that there is a documented reason for the new separation.

Record Layout: Separation Reason

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
11	Separation Reason	Step 3B - Rule 6	The reason for separation.	Text (Required)	CHAR (30)	NOT NULL

Relationship Between Populations 3 and 3a Subpopulations and ETA 5159A, 586A, and 218

Relationship between ETA 5159A and 586A Reports and Claims Subpopulations in Population 3

Program	Line No.	Initial Claims						Entering Self-employment, All Programs (13)
		New Intrastate Excluding Transitional (2)	Additional Intrastate (3)	Interstate Filed from Agent State (4)	Interstate Taken as Agent State (5)	Transitional (6)	Interstate Received as Liable State (7)	
State UI	101	1-7	3a.1	19 and 22	22	25-31	8-14 and 3a.4	
UCFE, No UI	102	15	3a.2	20 and 23	23	32	17 and 3a.5	
UCX Only	103	16	3a.3	21 and 24	24	33	18 and 3a.6	
State UI	201							46

State UI	Line No.	New Claims (1)	Persons Establishing Benefit Years (2)
Intrastate	101	34 and 35	35 and 38
Interstate Recvd. as Paying State	102	36 and 37	37 and 39

Relationship between ETA 218 Reports and New UI Claims Subpopulations in Population 3

SECTION A. MONETARY DETERMINATIONS					
	DETERMINATIONS		NUMBER OF CLAIMANTS ESTABLISHING BENEFIT YEARS		
LINE NO.	Insufficient Wage Credits (2)	Sufficient Wage Credits (3)	Total (4)	Maximum Weekly Benefit (5)	Maximum Benefit & Duration (6)
100	1, 8, 25, 40	2-6 9-13 26-30 41-45	2-5 9-12 26-29 41-44	2-3 9-10 26-27 41-42	2, 9, 26, 41

SECTION B. POTENTIAL DURATION FOR DETERMINATIONS ESTABLISHING BENEFIT YEARS

LINE NO.	ITEM	LESS THAN MAXIMUM WEEKS OF DURATION		
		Less than 26 Weeks (8-13)		
101	Potential	3, 5, 10, 12, 27, 29, 42, 44		
		MAXIMUM WEEKS OF DURATION	Number at Maximum Duration (19)	Average Weeks Duration ^a (20)
		26-27 Weeks (14)		
103	Potential	2, 4, 9, 11, 26, 28, 41, 43	2, 4, 9, 11, 26, 28, 41, 43	Average Calculation from Total Column for Subpopulations 2-5, 9-12, 26-29, and 41-44

Data Elements and Values for Reporting and Validating Claims

- Data Element: [SSN](#)
- Data Element: [Date Claim Filed/IB-4 Sent](#)
- Data Element: [Type of UI Program](#)
- Record Layout: [Type of Claim](#)
- Data Element: [Program Type](#)
 - Value: UI Claim
 - Value: UCFE
 - Value: UCX Claim
- Data Element: [Intrastate/Interstate](#)
 - Value: CWC Intrastate
 - Value: CWC Interstate
- Data Element: [Date of Original Monetary](#)
- Data Element: [Sufficient/Insufficient/Wages Combined](#)
- Data Element: [WBA](#)
- Data Element: [MBA](#)
- Data Element: [Potential Weeks of Duration](#)
- Data Element: [Maximum Potential Weeks of Duration](#)

Data Element: Date Claim Filed/IB-4 Sent

Definition – Date Claim Filed/IB-4 Sent

The date on which the claim was filed was filed or the request for combined wage claim was sent to the liable state.

Module 3 – Step 3A – Date Claim Filed/IB-4 Sent

Step 1. Type of Claim

Sub Step A. New Claim

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR NEW CLAIMS:

Rule 1: This rule validates that the date that the new claim was filed matches the date on the worksheet and is during the period being validated by checking the claim files.

Module 3

Step 1. Type of Claim

Sub Step C. Transitional Claims

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the date that the transitional claim was filed matches the date on the worksheet and is during the period being validated by checking the claim files.

Record Layout: Date Claim Filed/IB-4 Sent

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

No.	Field Name	Module 3 Reference	Field Description	Data Format	Data Type	Constraint
3	Date Claim Filed/IB-4 Sent	Step 3A - Rules 1 and 6 Step 3C - Rule 1	The date the claim was filed in person, by mail or telephone, or by other means.	Date - MM/DD/YYYY (Required)	DATE	NOT NULL

[Return to Data Elements and Values for Reporting and Validating New Claims](#)

[Return to Data Elements and Values for Reporting and Validating Interstate Filed from Agent Claims](#)

[Return to Data Elements and Values for Reporting and Validating Interstate Taken as Agent State Claims](#)

[Return to Data Elements and Values for Reporting and Validating Transitional Claims](#)

[Return to Data Elements and Values for Reporting and Validating New Combined Wage Claims](#)

[Return to Data Elements and Values for Reporting and Validating New Claims Filed in the Prior Quarter](#)

[Return to Data Elements and Values for Reporting and Validating Self-Employment Claims](#)

Data Element: Type of Claim

Record Layout: Type of Claim

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
5	Type of Claim	New: Step 3A - Rule 2 Transitional: Step 3C - Rule 2 Entering Self-Employment: Step 3D - Rule 2 Additional: Step 3B - Rule 2 Reopened: Step 3B - Rule 7 New CWC claim: Step 3A - Rule 6 New CWC claim filed in prior quarter: Step 3A - Rule 7 New claim filed in prior quarter: Step 3A - Rule 5	New claim, Transitional claim, Entering self-employment, Additional claim, Reopened claim, New CWC claim, New CWC claim filed in a prior quarter, or New claim filed in a prior quarter.	Text - New Transitional Entering Self-Employment Additional Reopened CWC New Prior Qtr New CWC Prior Qtr New Claim (Required)	CHAR (30)	NOT NULL

[Return to Data Elements and Values for Reporting and Validating New Claims](#)

[Return to Data Elements and Values for Reporting and Validating Interstate Filed from Agent Claims](#)

[Return to Data Elements and Values for Reporting and Validating Interstate Taken as Agent State Claims](#)

[Return to Data Elements and Values for Reporting and Validating Transitional Claims](#)

[Return to Data Elements and Values for Reporting and Validating New Combined Wage Claims](#)

[Return to Data Elements and Values for Reporting and Validating New Claims Filed in the Prior Quarter](#)

Data Element: Date of Original Monetary

Definition: Date of Original Monetary Determination

Date of Original Monetary Determination:

The date on which the claimant was originally determined to have sufficient base-period wages and/or employment to establish a benefit year. After this date, any changes to the determination constitutes a redetermination (e.g. wage correction or alternative base period redeterminations), not a new date of original monetary determination.

Monetary Determinations: Determinations made on whether a claimant who has filed a new initial claim has sufficient base-period wages and/or employment to establish a benefit year under the State unemployment compensation program. (ETA 401, Sec I, Ch 2)

Note: A new claim filed in a subsequent quarter (e.g., subsequent to the filing of an initial claim determined to be monetarily ineligible) can be counted as a new monetary determination since the claim will have a new, that is different, benefit year. (Note from ETA 401, Section I-2-22)

Module 3

Step 6. Claim Status

Sub Step A. Monetary Determination Within Quarter

Rules for this step/substep apply to Population 3 and 12

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR POPULATION 3:

Rule 1: This rule validates that the first monetary determination for the new claim was issued during the quarter being validated.

FOR NEW CWC CLAIMS:

Rule 2: This rule validates that the date of the original monetary determination was that used wages from more than one state was within the quarter.

Module 3

Step 6. Claim Status

Sub Step B. No Monetary Determination

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the no monetary determination was sent for a claim filed in the validation quarter by ensuring that the date field for monetary determination is blank.

Record Layout: Date of Original Monetary

No.	Field Name	Module 3 Reference	Field Description	Data Format	Data Type	Constraint
8	Date of Original Monetary	Step 6A - Rules 1 and 2 Step 6B - Rule 1	Date the original determination was made on whether the claimant has sufficient base-period wages and/or employment to establish a benefit year.	Date - MM/DD/YYYY (Required except must be blank for "No Monetary" claim and CWC claims with insufficient wages and optional for UCFE, UCX, interstate filed from agent state, interstate taken as agent state, and entering self-employment program claims)	DATE	

[Return to Data Elements and Values for Reporting and Validating New Claims](#)

[Return to Data Elements and Values for Reporting and Validating Interstate Filed from Agent Claims](#)

[Return to Data Elements and Values for Reporting and Validating Transitional Claims](#)

[Return to Data Elements and Values for Reporting and Validating New Claims Filed in the Prior Quarter](#)

Data Element: Sufficient/Insufficient/Wages Combined

Definition: Claim Status – Sufficient Wages to Qualify for Benefits

Activities To Be Reported: The claimant's status, that is, whether or not the claimant was monetarily eligible and what level of benefits the claimant was eligible for, should be reported as the most recent information available at the time the report is run. For example, a claimant may have a monetary determination at the beginning of the quarter which found him/her ineligible. One or more monetary redeterminations may be accomplished after that time which ultimately changes the status to eligible. When the State computer program is run to determine the status of individuals who had an original monetary determination accomplished during the report quarter, the most recent status on record will be the one reported. However, once the status of a monetary determination has been reported, subsequent changes due to further redeterminations on the same new initial claim will not be reported on any ETA 218.

Determinations with Sufficient Wage Credits: Monetary determinations accomplished during the quarter for which, when the report is run, sufficient base-period wage credits (and employment) were located to make the claimant eligible regardless of all other eligibility requirements.

Includes all monetary determinations for which qualifying wage credits and/or employment were found even though the claimant may be disqualified due to other circumstances such as cancellation of wages.

Excludes monetary determinations for which either no wage credits could be located or insufficient wage credits and/or employment were available to satisfy the eligibility requirements of the State law.

Total Claimants Establishing Benefit Years: The number of claimants for whom it has been determined that benefit years were established.

Persons who established benefit years with a monetary determination based on employment and wages from more than one State. (ETA (218) Sec I, Ch 2)

New Combined Wage Benefit Year Established: A request for transfer of wages which resulted in a monetary determination based on employment and wages from more than one State.

Module 3

Step 6. Claim Status

Sub Step C. Sufficient Wages to Qualify for Benefits

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR NEW UI CLAIMS:

Rule 1: This rule uses the State code to validate that based on the most recent monetary determination at the end of the quarter being validated that the claim had sufficient wage credits to qualify for benefits.

NEW BENEFIT YEAR ESTABLISHED:

Rule 2: This rule validates that a new benefit year was established for the new claim with a sufficient monetary determination by checking the BYB date.

NO NEW BENEFIT YEAR ESTABLISHED:

Rule 3: This rule validates that based on the most recent monetary determination at the time the 218 report was generated (in the month following the end of the quarter being validated) that a new benefit year was not established for the new claim with a sufficient monetary determination.

FOR NEW CWC CLAIMS:

Rule 4: This rule validates that wages were combined during the quarter by checking the date of the first monetary determination which used wages from two States.

Definition: Claim Status - Insufficient Wages to Qualify for Benefits

Monetary Determinations: Determinations made on whether a claimant who has filed a new initial claim has sufficient base-period wages and/or employment to establish a benefit year under the State unemployment compensation program.

Determinations with Insufficient Wage Credits: Monetary determinations accomplished during the quarter for which, when the report is run, either no wage credits could be located or insufficient wage credits (or employment) were available to satisfy the eligibility requirements of the State law. Excludes determinations where insufficiency is due to credits having been canceled as the result of disqualification. (ETA (218) Sec I, Ch 2)

Includes monetary determinations for which either no base-period wage credits could be located or insufficient wage credits and/or employment were available to satisfy the eligibility requirements of the State law.

Excludes monetary determinations for which insufficiency is due to credits having been canceled as the result of a disqualification.

Request to Combine Wages Which Does Not Result in a New CWC Benefit Year: An initial request for transfer of wages which did not result in wages being combined from two or more States.

Module 3

Step 6. Claim Status

Sub Step D. Insufficient Wages to Qualify for Benefits

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR NEW UI CLAIMS:

Rule 1: This rule uses the State code to validate that for the quarter in which the 218 report was generated the claim had insufficient wage credits to qualify for benefits (the claimant was monetarily ineligible).

FOR NEW CWC CLAIMS:

Rule 2: This rule validates that the claim is not a combined wage claim by checking an indicator.

Rule 3: This rule validates that the claim is not a combined wage claim by checking that wages from another SWA are not included in the base-period wages.

Record Layout: Sufficient/Insufficient/Combined Wages

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

No.	Field Name	Module 3 Reference	Field Description	Data Format	Data Type	Constraint
9	Sufficient/ Insufficient/ Combined Wages	Sufficient Wages: New Benefit Year: Step 6C - Rules 1 and 2 Sufficient Wages - No New Benefit Year: Step 6C - Rule 3 Insufficient Wages: Step 6D - Rule 1 New CWC Wages: Step 6C - Rule 4 No New CWC Wages: Step 6D - Rules 2 and 3	The status of the new UI or CWC claim at the time the 218 report was run: Sufficient-new benefit year established; Sufficient-no new benefit year established; Insufficient; Sufficient-new CWC benefit year established.	Text - Insufficient Sufficient New BY Sufficient No BY Sufficient New CWC BY (Required except must be blank for "No Monetary" claim and optional for UCFE, UCX, interstate filed from agent state, interstate taken as agent state, and entering self- employment program claims)	CHAR (30)	

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Data Element: WBA

Definitions: Maximum Weekly Benefit

Claimants Establishing Benefit Years Entitled to Maximum Weekly Benefit Amount: Claimants whose regular weekly benefit amount (WBA) for a period of total unemployment is the maximum provided under the State law. This should be reported on the basis of the regular WBA rather than the actual amount of a particular weekly payment since, in some cases, the regular WBA may be reduced because of part-total or partial unemployment.

In States which pay increased benefits because of dependents, this item should be reported on the basis of the augmented amount. For States which pay benefits according to a schedule of WBAs based on wages earned, and which supplement these with dependents' allowances, the number of such claimants entitled to the maximum augmented WBA will be identical to the number entitled to the maximum basic WBA. For States which pay benefits according to a schedule of WBAs based on the number and type of dependents, as well as on the amount of wages earned (e.g., Ohio), the number of such claimants will be the sum of those entitled to the maximum in each class, as determined by the number and type of dependents. (ETA 401, Sec I, Ch 2)

Reporting Maximum WBA and Maximum Duration: When the State law is changed during the reported period with respect to the maximum weekly benefit amounts or duration, the data reported shall represent the total at the maximum under the old law and under the new law. Also, if the law provides for more than one maximum, each applicable to a specified set of circumstances under which the claimant files, the data reported shall represent the total of individuals at all designated maximums. For example, the maximum in a State may vary according to the number and type of claimant's dependents, the claimant's previous history of employment and receipt of benefits, the industry in which the claimant earned his wage credits, and the type of claim (i.e., interstate or intrastate). (ETA 401, Sec I, Ch 2)

Module 3

Step 7. Maximum Weekly Benefit

Rules for this step/substep apply to Populations 2 and 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR MAXIMUM WBA:

Rule 1: This rule validates that based on the most recent monetary determination at the time the 218 report was generated (in the month following the end of the quarter being validated) that the weekly benefit amount for the new claim matches the weekly benefit amount on the worksheet and is currently the maximum for the State (excluding dependent allowances).

FOR LESS THAN MAXIMUM WBA:

Rule 2: This rule validates that based on the most recent monetary determination at the time the 218 report was generated (in the month following the end of the quarter being validated) that the

WBA for the new claim is less than the maximum for the State (excluding dependent allowances).

Record Layout: WBA

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
10	WBA	Step 7 - Rules 1 and 2	Weekly benefit allowance is the maximum or less than maximum.	Text - Maximum Less than Maximum (Required except must be blank for insufficient, sufficient but no benefit year, and "No Monetary" claim, and optional for UCFE, UCX, interstate filed from agent state, interstate taken as agent state, CWC, and entering self-employment program claims) (States should include the WBA after the dash which follows the generic federal value.)	CHAR (30)	

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Data Element: MBA

Definition: MBA

Claimants Establishing Benefit Years Entitled to Maximum Weekly Benefit Amount and Maximum Duration: In a State which pays increased benefits because of dependents, a claimant should be considered as entitled to maximum duration if he is eligible for the maximum number of weeks of benefits available to him on the basis of the number and type of his dependents.

(ETA 401, Sec I, Ch 2)

Reporting Maximum WBA and Maximum Duration: When the State law is changed during the reported period with respect to the maximum weekly benefit amounts or duration, the data reported shall represent the total at the maximum under the old law and under the new law. Also, if the law provides for more than one maximum, each applicable to a specified set of circumstances under which the claimant files, the data reported shall represent the total of individuals at all designated maximums. For example, the maximum in a State may vary according to the number and type of claimant's dependents, the claimant's previous history of employment and receipt of benefits, the industry in which the claimant earned his wage credits, and the type of claim (i.e., interstate or intrastate). (ETA 401, Sec I, Ch 2)

Module 3

Step 8. Potential Weeks of Duration

Sub Step A. Potential Weeks of Duration

Rules for this step/substep apply to Populations 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the maximum benefit amount matches the MBA on the worksheet and that the MBA divided by the WBA matches the number of weeks of potential duration on the worksheet.

Step 8. Potential Weeks of Duration

Sub Step B. Maximum Potential Weeks of Duration

Rules for this step/substep apply to Populations 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the maximum benefit amount matches the MBA on the worksheet and when divided by the WBA the number of weeks duration of the benefit year is the maximum for the State. (The MBA divided by the WBA on the most recent monetary determination is the time the ETA 218 report was run equals the state maximum number of weeks.)

Record Layout: MBA

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

<i>No.</i>	<i>Field Name</i>	<i>Module 3 Reference</i>	<i>Field Description</i>	<i>Data Format</i>	<i>Data Type</i>	<i>Constraint</i>
11	MBA	Steps 8A and 8B - Rule 1	Maximum benefit allowance	Number - 0000000.00 (Required except must be blank or 0 for insufficient, sufficient but no benefit year, and "No Monetary" claim, and optional for UCFE, UCX, interstate filed from agent state, interstate taken as agent state, CWC, and entering self-employment program claims)	DECIMAL (9,2)	

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Data Element: Potential Weeks of Duration

Definition: Potential Weeks of Duration

Potential Duration for Determinations Establishing Benefit Years: The number of full weeks of benefits for which a claimant is determined to be eligible within a benefit year. Potential duration should be based solely on monetary determinations without adjustment for periods of disqualification or wage credits canceled for specific disqualifying acts such as voluntary quitting, misconduct, etc.

Weeks of potential duration should be computed by dividing the total amount payable, from State trust funds during the benefit year, by the regular WBA for total unemployment. States in which the law provides that the WBA shall change during the benefit year should use the weekly benefit amount shown on the original determination to compute the potential duration.

States which provide for the payment of increased benefits because of dependents should use (in computing potential duration) figures which include benefits payable as a result of dependents. (ETA 401, Sec I, Ch 2)

Module 3

Step 8. Potential Weeks of Duration

Sub Step A. Potential Weeks of Duration

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

Rule 1: This rule validates that the maximum benefit amount matches the MBA on the worksheet and that the MBA divided by the WBA matches the number of weeks of potential duration on the worksheet.

Record Layout: Potential Weeks of Duration

This record layout provides the format for the validation extract file. The extract file type must be ASCII, comma delimited columns. Data must be in the order listed in the record layout. The data Format column indicates the generic values for text fields. **These must be followed by a dash and the state-specific value.** The Module 3 reference indicates the step where the state-specific values are documented.

No.	Field Name	Module 3 Reference	Field Description	Data Format	Data Type	Constraint
12	Potential Weeks of Duration	Step 8A - Rule 1	The number of full weeks of benefits for which a claimant is determined to be eligible within a benefit year.	Number - 00 (Required except must be blank or 0 for insufficient, sufficient but no benefit year, and "No Monetary" claim, and optional for UCFE, UCX, interstate filed from agent state, interstate taken as agent state, CWC, and entering self-employment program claims)	INTEGER	

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Data Element: Maximum Potential Weeks of Duration

Definition: Maximum Potential Weeks of Duration

Claimants Establishing Benefit Years Entitled to Maximum Weekly Benefit Amount and Maximum Duration: In a State which pays increased benefits because of dependents, a claimant should be considered as entitled to maximum duration if he is eligible for the maximum number of weeks of benefits available to him on the basis of the number and type of his dependents.

(ETA 401, Sec I, Ch 2)

Reporting Maximum WBA and Maximum Duration: When the State law is changed during the reported period with respect to the maximum weekly benefit amounts or duration, the data reported shall represent the total at the maximum under the old law and under the new law. Also, if the law provides for more than one maximum, each applicable to a specified set of circumstances under which the claimant files, the data reported shall represent the total of individuals at all designated maximums. For example, the maximum in a State may vary according to the number and type of claimant's dependents, the claimant's previous history of employment and receipt of benefits, the industry in which the claimant earned his wage credits, and the type of claim (i.e., interstate or intrastate). (ETA 401, Sec I, Ch 2)

Module 3

Step 8. Potential Weeks of Duration

Sub Step B. Maximum Potential Weeks of Duration

Rules for this step/substep apply to Population 3

Select "Pass" on the sample validation screen for the element if all of the following conditions are met. Otherwise, select "Fail".

FOR MAXIMUM POTENTIAL WEEKS OF DURATION:

Rule 1: This rule validates that the maximum benefits amount matches the MBA on the worksheet and when divided by the WBA the number of weeks of duration of the benefit year is the maximum for the State. (The MBA divided by the WBA on the most recent monetary determination at the time the ETA 218 report was run equals the State's maximum number of weeks.)

FOR LESS THAN MAXIMUM POTENTIAL WEEKS OF DURATION:

Rule 2: This rule validates that the duration of the benefit year is less than the maximum for the State. The MBA divided by the WBA on the most recent monetary determination at the time the ETA 218 report was run is less than the State's maximum number of weeks.

Record Layout: Potential Weeks Maximum Duration

No.	Field Name	Module 3 Reference	Field Description	Data Format	Data Type	Constraint
13	Potential Weeks Maximum Duration	Step 8B - Rules 1 and 2	The duration of the benefit year is or is not the maximum for the State.	Text - Y N (Required except must be blank for insufficient, sufficient but no benefit year, and "No Monetary" claim, and optional for UCFE, UCX, interstate filed from agent state, interstate taken as agent state, CWC, and entering self-employment program claims)	CHAR (20)	

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